

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK

-----X		
In re	:	Chapter 11 Case No.
	:	
LEHMAN BROTHERS HOLDINGS INC., <i>et al.</i> ,	:	08-13555 (JMP)
	:	(Jointly Administered)
Debtors.	:	
	:	
-----X		
		Ref. Docket No. 38089

AFFIDAVIT OF SERVICE

STATE OF NEW YORK)
) ss.:
COUNTY OF NEW YORK)

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On June 27, 2013, I caused to be served the "Notice of Defective Transfer," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez
Lauren Rodriguez

Sworn to before me this
2nd day of July, 2013
/s/ Cassandra Murray
Notary Public, State of New York
No. 01MU6220179
Qualified in Queens County
Commission Expires April 12, 2014

EXHIBIT A

UNITED STATES BANKRUPTCY COURT
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (JMP)

(Jointly Administered)

NOTICE OF DEFECTIVE TRANSFER

Transferor: UBS AG
BAHNHOFSTR. 45
ATTN: HUGO KOLLER
ZURICH 8001 SWITZERLAND

Additional: UBS AG
ATTN: HUGO KOLLER, OQ9C/O5GC
P.O. BOX
ZURICH 8098 SWITZERLAND

Transferee: BANK JULIUS BAER & CO. LTD.
ATTN: PATRIK ROOS
BAHNHOFSTRASSE 36
ZURICH 8010 SWITZERLAND

Your transfer of claim # 59233-75 is defective for the reason(s) checked below:

Other Claim Portion Filed in EUR - Transfer NTC Filed in Units

Docket Number 38089

Date 06/21/13

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on June 27, 2013.

EXHIBIT B

TIME: 11:11:09
DATE: 06/27/13

LEHMAN BROTHERS HOLDING INC.
CREDITOR LISTING

PAGE: 1

Name	Address
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BANK JULIUS BAER & CO. LTD.	ATTN: PATRIK ROOS BAHNHOFSTRASSE 36 ZURICH 8010 SWITZERLAND
UBS AG	BAHNHOFSTR. 45 ATTN: HUGO KOLLER ZURICH 8001 SWITZERLAND
UBS AG	ATTN: HUGO KOLLER, 009C/05GC P.O. BOX ZURICH 8098 SWITZERLAND

Total Number of Records Printed

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